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\*Educational Qualifications: D.Phil, CS, LL.M.(Dispute Resolution), LL.M.(Insolvency Laws), LL.M.,DARB(ICSI), ICAP(IICA), MA (Mediation & Conflict Resolution), EDP-Construction Law, Contracts & Arbitration, EPBCL(IIM-C) M.Com, MBA, MPA, MA(CSR), MA (Development Studies), PGDAL, PGD-AM, PGD-DNEC, PGDADR, PGDCG, PGDIPR, PGDFMP, PGDCJ, PGCCCL, PGCCC, ACCLCM, CCRG(IICA), DIA(ICSI), APC-CLMR(IICA), CFA, CCIBC, CCSRP, CBRR, CPCDR, CCIBLP(IICA), CCA, CCIA, CCMN(IICA), CCMSME, CCCCM, CCIBC.

\*Professional Experience:

- **Corporate Legal Consultant, September 2018 – Present**  
Arbitrator, Mediator, Advocate
- **Bajaj Group (Mumbai) - Group General Counsel (Retainership)** September 2018 – March 2024.
- **Bajaj Hindusthan Sugar Ltd (Noida / Lucknow) – Senior Vice President – Corporate & Legal** - July 2004 – August 2018.
- **Consulting Engineering Services (India) Pvt. Ltd., New Delhi-Manager (Finance) & Company Secretary (Officiating)** - Jan 2004 – July 2004
- **Wheeler Group of Companies, Allahabad/Delhi/Gurgaon – Company Secretary & Manager – Corporate Affairs** - Aug 1994 – Dec 2003
- **Practicing Lawyer, Allahabad** - Feb 1992 – July 1994  
(Advocate Reg No- UP / 842 / 05-02-1992)
- **Authorized Income Tax Practitioner (ITP)** - Office of the Commissioner of Income Tax, Allahabad – Certificate Registration No – 65/90-91, dated August 27, 1990.

**Arbitration Experience (if any): NIL**

**\*Languages Known: English, Hindi, Bengali**

**\*Profile Summary (Short Bio):**

Dr. Subroto Roy is a corporate legal and ADR professional with an experience of over 32 years. Currently he is a *corporate advisor / lawyer* mainly appearing before Allahabad High Court and NCLT Allahabad Bench and handles issues related to commercial, corporate, arbitration and mediation. Started his career as a tax lawyer and later as a Company Secretary and then as an in-house corporate counsel with the Bajaj Group of Mumbai, working in the power, sugar and FMCG segment.

He is skilled in Alternate Dispute Resolution, Litigation Management, Legal Compliance, Corporate Governance, Planning, Legal Research and Liasoning and with appearance before Judicial Institutions and statutory bodies. He has expertise in handling complex matters related to industry and business before the statutory authorities, legal institutions and administrative authorities.

Dr. Subroto Roy earned his *D.Phil degree* (doctorate) in Commerce from the University of Allahabad and *LL.M. in Insolvency Laws & Master's in Mediation & Conflict Resolution* degrees from Maharashtra National Law University, Mumbai (MNLU-M). He also completed his post-graduate diploma in Arbitration & Mediation from MNLU, Mumbai. He also completed his *LL.M.in Dispute Resolution* from Jindal Law School, O.P. Jindal Global University, Sonapat and *LL.M.* from Nehru Gram Bharti (Deemed) University, Prayagraj-Allahabad. He is a Fellow Member of the Institute of Company Secretaries of India; New Delhi having qualified his CS Degree in 1996.

He also holds *Post Graduate Diploma in Arbitration Laws* from National Law School of India University, Bengaluru and *Post Graduate Diploma in ADR* from NALSAR Law University, Hyderabad. He holds *Post Membership Qualification Professional Diploma in Arbitration* from The Institute of Company Secretaries of India. He completed his *B.Com & LL.B.* degree from the University of Allahabad.

Besides the above academic and professional qualifications he also holds *MBA, MPA, M.Com, MA(CSR), MA(Development Studies) degrees and has completed EDP in Construction Law, Contracts and Arbitration from Dhirubhai Ambani University, Gandhinagar and also has*

*post graduate diplomas in Contracts, Corporate Governance, Intellectual Property Rights, Financial Management Practices, Criminal Justice, Cyber Law, Competition Law and professional certifications in Insolvency & Bankruptcy Laws, Forensic Audit, Arbitration, Mediation, Climate Change* besides being an accredited and qualified Mediator and Arbitrator from various domestic and international institutions, like the International Mediation Institute, The Netherlands, Indian Institute of Corporate Affairs, Gurugram, ICSI International ADR Centre, New Delhi, India International Arbitration Centre, New Delhi, International Arbitration & Mediation Centre, Hyderabad, Centre for Mediation and Research, Maharashtra National Law University, Mumbai.

An *Accredited Mediator* by Royal Institution of Chartered Surveyors-Dispute Resolution, London and *Supreme Court of India's Mediation and Conciliation Project Committee and National Legal Services Authority of India*. A *certified Mediator* by the Indian Institute of Corporate Affairs and the Centre of Mediation and Research of Maharashtra National Law University, Mumbai besides being an alumnus of Indian Institute of Management Calcutta.

He is a *Fellow Member* of The Institute of Company Secretaries of India, New Delhi, *Fellow Member* of Indian Council of Arbitration, New Delhi, *Fellow Member* of Asian Institute of Alternative Dispute Resolution, Malaysia, *Member* of Chartered Institute of Arbitrators, London, *Associate Member* of Singapore Institute of Arbitrators, *Life Member* of Allahabad High Court Bar Association, *Member* of Bar Council of Uttar Pradesh, *Member*-Nani Palkhivala Arbitration Centre, Chennai, *Associate Member* of Arbitration Bar of India, New Delhi, *Member*-NIVAARAN – Mediators of the Supreme Court of India.

- Arbitrator – Empaneled - ICSI International ADR Centre (ICSIIAC), New Delhi
- Arbitrator – Empaneled - India International Arbitration Centre, New Delhi
- Arbitrator – Empaneled – Indian Council of Arbitration, New Delhi
- Mediator - Empaneled – International Arbitration & Mediation Centre (IAMC)- Hyderabad
- Certified Mediator - Indian Institute of Corporate Affairs, Gurugram / NCR.
- “Accredited Evaluative Mediator” – Royal Institution of Chartered Surveyors-(RICS) - London
- Advocate – Allahabad High Court – Advocate on Roll No – A/D 0009/2019
- National Company Law Tribunal – Allahabad Bench
- Arbitral Tribunal Secretary – Mumbai Centre for International Arbitration

- Mediator - Empaneled – Centre for Mediation and Research – Maharashtra National Law University, Mumbai
- ‘IMI-Qualified Mediator’ – International Mediation Institute, Hague, Netherlands
- IICA – ‘Qualified Arbitrator’, Indian Institute of Corporate Affairs, Gurugram, India.
- Mediator – Empaneled – ‘NIVAARAN’ – Mediators of the Supreme Court, India

### **PROFESSIONAL MEMBERSHIPS**

<b>Fellow (FCS)</b>	: The Institute of Company Secretaries of India, New Delhi
<b>Fellow (FICA)</b>	: Indian Council of Arbitration, New Delhi.
<b>Fellow (FAIADR)</b>	: Asian Institute of Alternate Dispute Resolution, Kuala Lumpur, Malaysia
<b>Member</b>	: Society of Construction Law - India & U.K.
<b>Associate (ASIArb)</b>	: Singapore Institute of Arbitrators
<b>Member (MCIArb)</b>	: Chartered Institute of Arbitrators, London
<b>Associate (ACICA)</b>	: Australian Centre for International Commercial Arbitration
<b>Life Member</b>	: India International ADR Association.
<b>Life Member</b>	: All India Federation of Tax Practitioners, Mumbai
<b>Life Member</b>	: Chambers of Tax Consultants, Mumbai
<b>Member</b>	: Bar Council of UP.
<b>Life Member</b>	: Allahabad High Court Bar Association
<b>Member</b>	: Mediators India
<b>Member</b>	: Nana Palkhivala Arbitration Centre, Chennai
<b>Empaneled Arbitrator</b>	: India International Arbitration Centre, New Delhi
<b>Empaneled Mediator</b>	: International Arbitration & Mediation Centre (IAMC)-Hyderabad
<b>Member</b>	: Southern India Chamber of Commerce & Industry, Chennai
<b>Associate</b>	
<b>Member:</b>	: Arbitration Bar of India, New Delhi
<b>Member</b>	: INSOL India
<b>Member</b>	: INSOL International
<b>Member</b>	: NIVAARAN – Mediators of the Supreme Court

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